New York's Universal Prekindergarten (UPK) program began providing prekindergarten services in 1998. The program aims to serve all 4-year-olds in the state, regardless of income, but has not received sufficient funding to meet this goal. As a result, UPK served about 40 percent of the state's 4-year-olds during the 2007-2008 school year. Districts offering UPK slots use a random lottery system to select children for enrollment. Funds are distributed directly to school districts to operate prekindergarten programs, but districts are required to use at least 10 percent of their funding to subcontract with Head Start, private child care centers, or other community agencies. More than half of UPK funding was subcontracted to local providers for the 2007-2008 school year. UPK teachers in public schools must hold a New York state teaching certification. Teachers in nonpublic UPK settings are not required to be certified as long as they are supervised by a certified teacher.

Prior to the creation of the UPK initiative, New York offered preschool education through the Targeted Prekindergarten (TPK) program. This program was originally established as the Experimental Prekindergarten (EPK) program in 1966 and was one of the earliest state-funded preschool education programs in the nation. TPK funding was used to provide family activities and social services in addition to half-day preschool.

In January 2006, the New York State Board of Regents recommended that the UPK and TPK programs be combined into the New York Universal Prekindergarten program. The goal is for this program to receive adequate funding to serve all 4-year-olds in New York. As a result, the TPK program ceased operating after the 2006-2007 school year and UPK's funding was increased by 50 percent for the 2007-2008 school year. As a result of the new UPK funding formula in 2007-2008, all school districts in the state were eligible to receive UPK funding for the first time. Fifty-nine percent of school districts offered the program in 2007-2008, including 142 districts that did so for the first time. The state will offer planning grants to assist in the expansion of UPK.

New York will adopt prekindergarten standards during the 2008-2009 school year. New UPK regulations will emphasize the alignment of curricula and instruction with the learning standards and assessment.
NEW YORK UNIVERSAL PREKINDERGARTEN

ACCESS

Total state program enrollment ........................................ 91,517
School districts that offer state program ........................... 58%1
Income requirement ...................................................... None
Hours of operation ................................................. 2.5 hours/day (part-day), 5 hours/day (full-day), 5 days/week
Operating schedule ...................................................... Academic year
Special education enrollment ........................................ 44,222
Federally funded Head Start enrollment ......................... 43,316
State-funded Head Start enrollment .............................. 0

QUALITY STANDARDS CHECKLIST

<table>
<thead>
<tr>
<th>POLICY</th>
<th>STATE PRE-K REQUIREMENT</th>
<th>BENCHMARK</th>
<th>DOES REQUIREMENT MEET BENCHMARK?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Early learning standards ....................... Not comprehensive .......... Comprehensive</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teacher degree .................. BA prior to 1978; MA after (public); BA</td>
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<tr>
<td>Teacher specialized .......... Certification in Birth–Grade 2 (public); Specializing in pre-K training</td>
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<tr>
<td>Assistant teacher degree .......... Level I certification (public); CDA or equivalent HSD (nonpublic)4</td>
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<tr>
<td>Teacher in-service ................. 175 clock hours/5 years .......... At least 15 hours/year</td>
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<tr>
<td>Maximum class size ................... NA 20 or lower</td>
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<td></td>
<td></td>
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<tr>
<td>Staff-child ratio .................... NA 1:10 or better</td>
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<tr>
<td>Screening/referral ................. Vision, hearing, health, dental; Vision, hearing, health; and support services developmental; and support services5 at least 1 support service</td>
<td></td>
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</tr>
<tr>
<td>Meals ............................ Depend on length of program day6 .......... At least 1/day</td>
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<tr>
<td>Monitoring ........................ Site visits and other monitoring .......... Site visits</td>
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</tbody>
</table>

RESOURCES

Total state pre-K spending ........................................... $361,293,769
Local match required? .................................................. No
State spending per child enrolled ................................. $3,948
All reported spending per child enrolled* ...................... $3,948

* Pre-K programs may receive additional funds from federal or local sources that are not included in this figure.
** K–12 expenditures include capital spending as well as current operating expenditures.
Data are for the '07-'08 school year, unless otherwise noted.

1 2007-2008 was the first year that funding was made available to all school districts in New York state. Of the 637 districts, 396 chose to participate in UPK during the 2007-2008 school year.
2 Since 2004, programs in nonpublic school settings have been required to meet the same certification requirements as those in public settings. However, a legislative amendment allowed certain community-based organizations to be exempt from this requirement until at least 2010, as long as uncertified teachers receive on-site supervision by certified teachers.
3 Teachers in community-based organizations are currently exempt from certification if they have on-site supervision by a certified teacher.
4 Level 1 certification requires a high school diploma or equivalent and passing of the Assessment of Teaching Assistant Skills Test. Assistant teachers employed by nonpublic schools must meet the standards of the licensing or registering agency.
5 Support services include parent education or job training, parenting support or training, parent involvement activities, health services for parents and children, referral to social services, and transition to kindergarten activities. The number of required annual parent conferences or home visits is determined locally.
6 Programs operating fewer than 3 hours must provide a nutritional meal or snack. Programs operating more than 3 hours must provide appropriate meals and snacks to ensure that nutritional needs of children are met.